T-128 P.002

## <u>IN THE CLAIMS:</u>

Please amend the claims as follows:

1. (currently amended) A method for delivering certificates with associated trust Information from a trust information provider to a client for verification of a received certificate by said client, comprising the steps of:

providing a trust information object (TIO) to said client, wherein; and providing as part of said TIO comprises: 1) a hash value of a trust entity certificate, and 2) associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, wherein the trusted entity comprises a certificate authority; and

verifying a received certificate using at least a portion of said TIO.

- 2. (original) The method of Claim 1, wherein said TIO comprises any of:
  - a trusted entity's certificate;
  - a trust vector of said trusted entity's certificate;
  - a value indicating a number of signatures required for a next update;
  - a date said TIO is created; and
- a digital signature of all data including said certificate, trust vector, number of signatures, and timestamp, contained in said TIO.
- 3. (original) The method of Claim 1 wherein said hash value is determined using any of MD5 and SHA-1.
- 4. (previously presented) The method of Claim 1, wherein said TIO conforms to the PKCS#7 standard.
- 5. (previously presented) The method of Claim 1, further comprising the step of: hard coding a TIO derived from a set of root certificate authority (CA) certificates into said client's software.

- 6. (original) The method of Claim 1, further comprising the step of: saving a copy of said TIO in a persistent memory during said client's build time.
- 7. (withdrawn) A method for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising the steps of:

associating a trust information object (TIO) with said client, said TIO comprising a hash value of a trust entity certificate and associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate:

during an SSL handshake between said client and said server, said server sending a certificate chain that, optionally, contains a root certificate (RC) to said client; and

said client validating said server certificate using said TIO.

- 8. (withdrawn) The method of Claim 7, wherein said client hashes a server certificate and compares a resulting digest against a list of trusted entity certificate thumbprints obtained from said TIO.
- 9. (withdrawn) The method of Claim 8, wherein if a thumbprint match is not found: said client retrieves an RC from a trusted server;

said client performs certificate chain validation up to a root certificate authority (CA);

once an entire certificate chain is validated, said client tries to validate said CA RC:

wherein, if said RC is included in said certificate chain, said client hashes said RC and looks up said TIO in said client;

wherein if a resulting hash value and a corresponding trust bit are found in said TIO, then said certificate chain is considered to be valid and session initiation proceeds.

- 10. (withdrawn) The method of Claim 8, wherein if a thumbprint match is, said client checks a trust bit vector associated with said certificate to ensure that an authenticated server is trusted in the context of a session being established.
- 11. (withdrawn) The method of Claim 9, wherein if necessary trust capabilities are not set on a matched thumbprint, said client fails a session initiation handshake.
- 12. (withdrawn) The method of Claim 7, wherein a hash value in said TIO is taken by hashing a valid certificate; and wherein said certificate is accepted by a validation mechanism, even when said client receives an expired root certificate.
- 13. (withdrawn) The method of Claim 7, further comprising the step of: providing in said TIO a designated trust bit associated with a site certificate for identifying a site that is trusted to perform certain operations;

wherein when said client executes a script it checks said certificate and associated trust information; and

wherein if said trust bit indicates that a site identified by its certificate is trusted for an intended operation, then access permission is granted.

14. (currently amended) A method for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising the steps of:

embedding a trust information object (TIO) within said client, said TIO comprising: 1) a hash value of a trust entity certificate, and 2) associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, wherein the trusted entity comprises a certificate authority;

said client connecting to said server to determine whether a new TIO is available: and

said server sending a new TIO to said client if there is a more recent TIO.

- 15. (previously presented) The method of Claim 14, further comprising the step of: sending a TIO including a signing certificate to said client, wherein trust information of said signing certificate indicates that said signing certificate can be trusted for signing said TIO.
- 16. (original) The method of Claim 14, wherein said client fetches said TIO from a trusted server, said client ensuring that a root certificate that signed said signing certificate is contained in said TIO and is not revocable.
- 17. (previously presented) The method of Claim 14, wherein said client verifies a digital signature of said TIO with a signing certificate along with a TIO sent to said client.
- 18. (original) The method of Claim 17, wherein multiple signatures are verified, depending on the number of signatures specified in said TIO; wherein said client hashes said signing certificates one by one; and wherein if proper results are found in said TIO and said certificates are trusted for signing said TIO, then said TIO proves that it was not tampered with.
- 19. (original) The method of Claim 18, wherein said signing certificates exist in said TIO in said client before said TIO is signed.
- 20. (currently amended) The method of Claim 14, wherein said TIO is delivered to said client via a broadcast channel:

wherein a provider delivers an initial TIO to said client that contains a signing certificate and associated trust information by either of including said signing certificate in the said initial TIO saved in a client persistent memory, or by sending

said initial TIO to said client through a secure channel before using said broadcast channel.

- 21. (original) The method of Claim 14, further comprising the step of: updating said TIO on a per session basis when said TIO is not persistently stored.
- 22. (currently amended) An apparatus for receiving certificates with associated trust information from a server for verification of a received certificate, comprising:

a client device for receiving a trust information object (TIO) associated with said client device, wherein[[;]]

said TIO comprising comprises: 1) a hash value of a trust entity certificate, and 2) associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, wherein the trusted entity comprises a certificate authority;

wherein said client device is adapted for verifying a received certificate using at least a portion of said TIO.

- 23. (Cancelled)
- 24. (original) The apparatus of Claim 22, said TIO comprising any of:
  - a time stamp which indicates a date that said TIO is generated;
- a trust attribute that comprises trust information associated with an entity represented by its certificate; and
- a thumb print comprising a hash of a public key embedded in a certificate that represents a trusted entity.
- 25. (currently amended) An apparatus for receiving certificates with associated trust information from a trust information provider for verification of a received certificate, comprising:-

a client device for receiving a trust information object (TIO) associated with said client device.[[;]]

wherein said TIO includes: 1) a hash value of a trust entity certificate, and 2) associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, wherein the trusted entity comprises a certificate authority:

wherein said client device is adapted for verifying a received certificate using at least a portion of said TIQ.

- 26. (previously presented) The apparatus of Claim 25, wherein said TIO comprises any of:
  - a trusted entity's certificate;
  - a trust vector of said trusted entity's certificate;
  - a value indicating a number of signatures required for a next update;
  - a date said TIO is created; and
- a digital signature of all data including said certificate, trust vector, number of signatures and timestamp, contained in said TIO.
- 27. (previously presented) The apparatus of Claim 25, wherein said hash value is determined using any of MD5 and SHA-1.
- 28. (previously presented) The apparatus of Claim 25, wherein said TiO conforms to the PKCS#7 standard.
- 29. (currently amended) The apparatus of Claim 25, wherein said TIO comprises a TIO derived from a set of root certificate authority (CA) certificates hard coded into said-client's software of said client device.
- 30. (currently amended) The apparatus of Claim 25, wherein said TIO further comprises:

a copy of said TIO saved in a persistent memory during said elient's client device's build time.

31. (withdrawn) An apparatus for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising:

a trust information object (TIO) associated with said client, said TIO comprising a hash value of a trust entity certificate and associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate:

means for sending a certificate chain from said server that, optionally, contains a root certificate (RC) to said client during an SSL handshake between said client and said server; and

means at said client for validating said server certificate using said TIO.

- 32. (withdrawn) The apparatus of Claim 31, wherein said client hashes a server certificate and compares a resulting digest against a list of trusted entity certificate thumbprints obtained from said TIO.
- 33. (withdrawn) The apparatus of Claim 32, wherein if a thumbprint match is not found:

said client retrieves an RC from a trusted server;

said client performs certificate chain validation up to a root certificate authority (CA);

once an entire certificate chain is validated, said client tries to validate said CA RC:

wherein, if said RC is included in said certificate chain, said client hashes said RC and looks up said TIO in said client;

wherein if a resulting hash value and a corresponding trust bit are found in said TIO, then said certificate chain is considered to be valid and session initiation proceeds.

- 34. (withdrawn) The apparatus of Claim 32, wherein if a thumbprint match is, said client checks a trust bit vector associated with said certificate to ensure that an authenticated server is trusted in the context of a session being established.
- 35. (withdrawn) The apparatus of Claim 34, wherein if necessary trust capabilities are not set on a matched thumbprint, said client fails a session initiation handshake.
- 36. (withdrawn) The apparatus of Claim 31, wherein a hash value in said TIO is taken by hashing a valid certificate; and wherein said certificate is accepted by a validation mechanism, even when said client receives an expired root certificate.
- 37. (withdrawn) The apparatus of Claim 31, further comprising:

a designated trust bit in said TIO associated with a site certificate for identifying a site that is trusted to perform certain operations;

wherein when said client executes a script it checks said certificate and associated trust information; and

wherein if said trust bit indicates that a site identified by its certificate is trusted for an intended operation, then access permission is granted.

38. (currently amended) An apparatus for delivering sertificates with associated trust information from a server to a client for verification of a received certificate by said-client, comprising:

a client <u>device</u> having embedded therein a trust information object (TIO) comprising: 1) a hash value of a trust entity certificate, and 2) associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, wherein the trusted entity comprises a certificate authority:

wherein said client <u>device</u> connects to said server to determine whether a new TIO is available; and

wherein said server sends a new TIO to said client if there is a more recent TIO.

- 39. (currently amended) The apparatus of Claim 38, wherein a trusted server sends a TiO including a signing certificate to said client <u>device</u>, wherein trust information of said signing certificate indicates that said certificate can be trusted for signing said TIO.
- 40. (currently amended) The apparatus of Claim 38, wherein said client <u>device</u> fetches said TIO from a trusted server, said client <u>device</u> ensuring that a root certificate that signed said signing certificate is contained in said TIO and is not revocable.
- 41. (currently amended) The apparatus of Claim 38, wherein said client <u>device</u> verifies a digital signature of said TIO with a signing certificate along with a TIO sent to said client <u>device</u>.
- 42. (currently amended) The apparatus of Claim 41, wherein multiple signatures are verified, depending on the number of signatures specified in said TiO; wherein said client <u>device</u> hashes said signing certificates one by one; and wherein if proper results are found in said TiO and said certificates are trusted for signing said TiO, said client <u>device</u> utilizes said TiO.
- 43. (currently amended) The apparatus of Claim 42, wherein said signing certificates exist in said TIO in said client <u>device</u> before said TIO is signed.
- 44. (currently amended) The apparatus of Claim 38, wherein said TIO is delivered to said client device via a broadcast channel;

wherein a provider delivers a TIO to said client device that contains a signing certificate and associated trust information by either of including said signing certificate in an initial TIO saved in a client persistent memory, or by sending said TIO to said client through a secure channel before using said broadcast channel.

- 45. (currently amended) The apparatus of Claim 38, wherein said client device updates said TIO on a per session basis when said TIO is not persistently stored.
- 46. (currently amended) A method for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising the steps of:

receiving a trust information object (TIO) at said client, wherein; providing within said TIO comprises: 1) a hash value of a trust entity certificate, and 2) associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, wherein the trusted entity comprises a certificate authority; and

verifying a received certificate using at least a portion of said TIO.

- 47. (Cancelled)
- 48. (original) The method of Claim 46, said TIO comprising any of:
  - a time stamp which indicates a date that said TIO is generated;
- a trust attribute that comprises trust information associated with an entity represented by its certificate; and
- a thumb print comprising a hash of a public key embedded in a certificate that represents a trusted entity.
- 49. (currently amended) A method for delivering certificates with associated trust information from a trust information provider to a client for verification of a received certificate by said client, comprising the steps of:

Attorney Docket No.: LIBE0034 (TVW/APP35US) Page 12 of 28

providing a trust information object (TIO) to said client, wherein; and providing as part of said TIO comprises; 1) a hash value of a public key embedded in a certificate that represents a trusted entity, and 2) trust information indicating a level of trust for the trusted entity associated with said certificate, wherein the trusted entity comprises a certificate authority; and verifying a received certificate using at least a portion of said TIO.